



Architects Registration Board

ARB Business Plan 2017

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The Architects Registration Board (ARB) was established by an Act of Parliament - the Architects Act – in 1997. ARB is both the independent statutory regulator of all UK architects and the UK’s competent authority for architects.

ARB has fifteen members on its Board, eight of whom are members of the public (lay members) appointed by the Privy Council, and seven are architects who are elected by their peers. The term of office for both architect and lay members is four years, with the option of a second consecutive term if reappointed or re-elected.

Our primary purpose is to deliver the Act and in doing so, we will also observe other statutory requirements. In accordance with the provisions of the Architects Act 1997, we work to ensure that architects are competent and have integrity. We set and maintain standards for entry to the Register of Architects, as well as the standards of conduct and practice which are expected of architects.

The Board has identified two objectives from the Act which underpin all of our work. These are:

Protect the users and potential users of architects' services, and

Support architects through regulation

Protect the users and potential users of architects’ services: We will protect the users and potential users of architects’ services by regulating architects so that the public can be confident that architects are appropriately qualified. We will raise the public’s awareness of the Register.

Support architects through regulation: We will maintain and publicly demonstrate the status of architects as competent, qualified professionals, and we will regulate use of the title “architect”. We will raise awareness of the Architects Code of Conduct and Practice and the need to maintain their competence.

We will ensure that we act in a manner which is at all times proportionate, while seeking to maximise the efficient and effective use of our resources. We will ensure that we secure value for money, and that we are accountable to our stakeholders. We are committed to working in a transparent, open and accountable way, and our actions and approach to delivering our objectives should demonstrate this.

We will work in conjunction with partners from within the profession, education, the wider built environment and the consumer sectors to deliver our objectives in the most efficient way.

The 2017 Business Plan reflects those objectives and also reflects the priorities identified by the Board for 2017-2020, which are detailed in the Statement of Priorities which is published on ARB’s website.

Format of the Business Plan

The Plan for 2017 has been divided into key areas of our work. Section F identifies the performance indicators which monitor the delivery of our regular work, such as entering appropriately qualified applicants onto the Register, the timely handling of complaints, prescribing the qualifications needed to become an architect and keeping stakeholders informed.

Reporting against the Business Plan

An annual report showing our performance against the Business Plan is given at the first Board meeting after the year end. The management team keeps the plan under review on a monthly basis and also assesses and reports on performance mid-year. The Board is alerted to any major problems in delivery.

The performance indicators in Section F are monitored regularly by the management team and are reported to the Board twice yearly. We monitor financial performance through the budget setting process and management accounts, which are provided to the Board four times a year.

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Section A - Maintaining the Quality of and Access to the Register

Under the Architects Act 1997, ARB maintains the UK Register of architects. The Register identifies those who are entitled to use the title “architect” in business and practice as they have met the requirements for qualifications and competence. The Register is the tool through which potential users of architects’ services can find an architect and check that an architect is registered. It is also important to architects that they are identified as qualified professionals. The Register must therefore be accurate and accessible. The work which underpins the maintenance of the Register is wide ranging. Digital by default.

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
1.	Improve the accuracy of the Register	<ul style="list-style-type: none"> ▪ Further increase of the use of online tools for updating details. ▪ Undertake an accuracy and quality review of the Register database. ▪ Implement email and telephone verification software across all self-service registration systems ▪ Send easy to use access to the registrants scanner Registrants 	<div style="border: 2px solid orange; border-radius: 15px; padding: 5px; display: inline-block; margin-bottom: 10px;"> 2017 Budget Development Costs £15k </div> Register grows by 1,200 (Figure to be reviewed during July/August).	<p>A lower number of invalid email addresses added on the register</p> <p>Reduced number of undeliverable communications to registrants.</p>
2.	Continue to enhance online registration system, including further development of internal office systems	<ul style="list-style-type: none"> ▪ Continue to develop streamlined back office systems to support the online registration tool. ▪ Gather feedback from users and potential users of the system and make appropriate to amendments to the system. ▪ Explore Plain English accreditation for the information provided in the system. 	Costs?	<p>Enhanced back office system delivery efficiencies in processing times.</p> <p>An increased understanding of how users view the system.</p>
3.	Provide an efficient and effective service to all enquirers	<ul style="list-style-type: none"> ▪ Develop a more robust system for handling incoming data, capable of providing management information. 		Increased efficiency, lower number of complaints
4.	Undertake a fundamental review of the routes to registration.	Undertake a review to: <ul style="list-style-type: none"> ▪ Explore whether current arrangements remain fit for purpose and reflect current best practice. ▪ Explore whether registration should continue to be 	<div style="border: 2px solid orange; border-radius: 15px; padding: 5px; display: inline-block; margin-bottom: 10px;"> 2017 Budget Development Costs £10k </div> It is anticipated that the project scope will approved by the Board in the second part of	We will have: <ul style="list-style-type: none"> ▪ Tested the basis for ARB’s requirements for registration and explored whether changes can be made in order to widen access to the Register, whilst continuing to provide public confidence

Section A – Maintaining the Quality of and Access to the Register

		<p>qualifications-based and any implications for change at an EU and international level.</p> <ul style="list-style-type: none"> Consider whether alternative routes to registration would be viable and would widen access to the Register whilst maintaining standards of competence. 	<p>2016. Work is expected to have commenced on the project in late 2016, and that the overall project will take up to two/three years in total.</p> <p>Development of any alternative routes to registration would take place in 2017/2018, which may involve major systems redevelopment work, and will need to be costed accordingly and at the appropriate time.</p> <p>The Review is likely to have an impact on a number of existing procedures, e.g., the Procedures for the Prescription of Qualifications; the Prescribed Examination Procedures, ARB’s requirements for the maintenance of competence and ARB’s Criteria. Depending on the outcomes of the Review, some of the above may also require review and adjust ahead of ARB’s current schedule of review for these areas.</p> <div data-bbox="863 1424 1150 1615" style="border: 1px solid blue; border-radius: 15px; padding: 5px;"> <p>Ring Fenced 2015</p> <p>Legal advice cost and possible expert input £15k</p> </div> <p>Possible additional funds may be required. Further information will form part of the scoping paper which should have been presented to the Board in the second part of 2016.</p> <div data-bbox="863 1899 1150 2078" style="border: 1px solid blue; border-radius: 15px; padding: 5px;"> <p>Ring Fenced last year</p> <p>Research funding £20k</p> </div>	<p>in the competence of those on the Register.</p> <ul style="list-style-type: none"> Considered whether alternative routes to registration are viable and continue to meet ARB’s purpose and objectives and if so, have prepared a plan for the implementation of any alternative routes. Engaged with all stakeholders and kept them informed of progress as the review develops.
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Section B – Maintaining the Qualifications of Architects

Under the Architects Act 1997, ARB prescribes (or recognises) the qualifications required to become an architect for those who gain their qualifications within the UK. We also contribute to the scrutiny and review of those qualifications which are obtained in other European Economic Areas, and which seek to be listed at a European level. We therefore maintain systems for assessing qualifications against set criteria. These criteria are held in common with the Royal Institute of British Architects and the Quality Assurance Agency benchmark for architectural qualifications. The UK criteria also reflect the minimum requirements across the EEA. We work closely with many other organisations and Member States to ensure that our systems are robust, fair and efficient and provide support to Schools and Institutions of Architecture, whilst ensuring that as a regulatory body, we have confidence that prescribed qualifications meet the Board's objectives and criteria.

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
	<p>To have improved engagement with institutions, students and other stakeholders regarding the prescription process and Criteria to inform, to obtain feedback and to promote an understanding of ARB's role with regard to qualifications, and to maintain a high quality service for institutions, students and EU Member states.</p>	<p>To improve the promotion of the availability of the following services and to meet all reasonable requests for:</p> <ul style="list-style-type: none"> ▪ feedback sessions; ▪ planning meetings; ▪ university liaison sessions; ▪ regular engagement with and liaison meetings with stakeholders; ▪ speaking at relevant conferences/ forums; ▪ Providing support for those seeking to list qualifications in Europe. ▪ To improve and develop areas of ARB's website relating to prescribed qualifications/the student handbook as well as keeping them up to date. 	<p>It is anticipated that there will be 60 university liaison visits during 2017 and up to 12 planning meetings, as well as a number of regular liaison meetings with key stakeholders. It is anticipated that 15+ institutions will seek to renew prescription and 5+ institutions will seek first time prescription for new qualifications; in addition we will receive around 50+ annual monitoring submissions.</p> <p>This reflects an increase on the figures for 2016.</p>	<p>Good relationships with key stakeholders are maintained and developed, as exhibited by individual and collective feedback.</p> <p>Stakeholders believe ARB adds value over and above performance on the prescription function, as evidenced by collective and individual feedback.</p> <p>ARB is kept well informed of possible future developments in order to plan its work and respond to developments.</p>
	<p>On behalf of the UK and its capacity as the UK's Competent Authority for Architects, embed the revised notification process within ARB's procedures, and scrutinise and review European qualifications which are notified to the European Commission for listing under the PQD.</p>	<ul style="list-style-type: none"> ▪ Continue to embed the European Commission's revised process for the notification of qualifications within ARB's procedures. ▪ Ensure that the European Commission's deadlines for considering notifications are met on every occasion. 	<p>It is anticipated that approximately 50 EU notifications will need to be processed in 2017.</p> <p>It is anticipated that the UK will need to notify 4-5 new qualifications as well as several title changes.</p> <p>This will have an impact on staff time.</p>	<p>ARB will have reviewed 100% of European notifications within the European Commission's deadlines, and complied with the requirements in this area.</p> <p>ARB will have dealt with all UK notifications within the European Commission's deadlines, and complied with the requirements in this area.</p>

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Section C - Maintaining the Standards of Conduct and Practice of Architects

Users and potential users of architects' services need to have confidence in the standards set by us under the Architects Code of Conduct and Practice, and that we take appropriate action where architects fall below the standards expected. We will seek to raise awareness of the Code and how clients may use the Code to help them in their relationship with their architect, as well as raising awareness and understanding of the Code by architects themselves. We will investigate allegations of unacceptable professional conduct and serious professional incompetence but will also seek to mitigate the risk of such situations arising.

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
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	<p>Ensure efficient processes which are fair to all in all aspects of complaints handling.</p>	<ul style="list-style-type: none"> ▪ Review and improve all literature and guidance to ensure they remain compliant with best practice. ▪ Integrate online complaints tool with ARB's internal investigations portal. ▪ Develop and increase the use of online feedback, and review and action where appropriate. 	<p>There are no developments arising from the Periodic Review which impact on the Third party review system continuing to be the appropriate method of reviewing disciplinary procedures.</p> <div style="border: 1px solid blue; border-radius: 15px; padding: 5px; width: fit-content; margin: 10px auto;"> <p>Ring Fenced spend Development costs £10k</p> </div>	<ul style="list-style-type: none"> ▪ All external facing guidance and literature is reviewed and updated as appropriate. ▪ Feedback is reported in an appropriate way, and demonstrable action taken in light of it where appropriate.
	<p>Ensure the investigations process is running smoothly and offers a fair process to all.</p>	<ul style="list-style-type: none"> ▪ Ensure that the Investigations Panel and Professional Conduct Committee are adequately resourced and trained in best practice. Portal Phase 2? ▪ The Investigations Oversight Committee is provided with appropriate information and maintains strategic overview of ARB's investigation processes. ▪ Outdated Professional Standards database and IT system is replaced by a fit for purpose system. 	<p>The outcome of the Periodic Review does not require any legislative change that would substantially impact on the way in which investigations are undertaken.</p> <p>The number of new complaints remains constant.</p> <p>External training will be provided to PCC & IP members.</p>	<p>80% of Investigations Panel decisions are reached within 12 weeks.</p> <p>80% of Third Party Reviews find no further action to be taken.</p> <p>No findings of 'no case to answer' at the PCC or adverse findings by Judicial Review.</p> <p>No successful appeals against PCC decisions.</p> <p>A fully operational and paperless IT system for investigations is put in place.</p>

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
			<p>Ring Fenced Spend</p> <p>Overall project cost £100k subject to the S14 review)Funds already allocated 2015/2016</p>	
	<p>Review how ARB delivers its statutory obligations under section 14 to investigate allegations of unacceptable professional conduct and serious professional incompetence</p>	<ul style="list-style-type: none"> ▪ Seek views from all stakeholders as to how ARB’s disciplinary regime might be improved. ▪ Draft new rules and acquire Board approval for changes where appropriate. ▪ Undertake 3 month formal consultation on proposed changes. ▪ Publish new rules; provide training as necessary to those who deliver a service on behalf of ARB. 	<p>The Periodic Review produces its findings sufficiently early to allow this review to take place before the end of the year.</p> <p>Government is clear as to any timetable for legislative change.</p> <p>Ring fenced spend 2015.</p> <p>15k Legal advice and interim amendments to systems, processes and rules.</p>	<p>New Investigations & Professional Conduct Committee Rules are consulted on and published to come into effect by 1 January 2017.</p>
	<p>Appoint new Investigations Pool members</p>	<ul style="list-style-type: none"> ▪ Undertake an open and fair recruitment exercise to appoint architect and lay members of the Investigations Pool ▪ Ensure that the appointments process adequately fulfils the skills balance required on the Pool ▪ Provide induction and training to new members as appropriate. 	<p>The results of the Periodic Review do not include any measures which require a fundamental change to how complaints are investigated</p> <p>2017 Budget.</p> <p>£10k Recruitment & training costs</p>	<p>The Investigations Pool has sufficiently skilled membership to fulfil its duties</p> <p>The Investigations Pool continues to work effectively and seamlessly throughout the transitional period</p>

Section D – Raising Public Awareness of the Register and Helping Users and Potential Users of Architects’ Services to Make Informed Choices

We recognise that it is not sufficient simply to hold an accurate Register. To be of value, the Register must be accessible, and users and potential users of architects’ services must understand the distinction of the title “architect” and the importance of the Register in confirming an architect’s registered status. We therefore work to increase awareness of the Register, to inform the public about the qualifications and competence of architects and to alert members of the public to the Architects Code of Conduct and Practice. We also work to prevent the misuse of the title “architect” which may only be used by those on the Register.

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
	<p>Raise public awareness of the standards expected of architects</p>	<ul style="list-style-type: none"> ▪ Ensure that the Code is embedded within all of the key communication documents published by ARB. ▪ Publish articles throughout the year highlighting the benefits of using a registered professional. ▪ Establish an internal task and finish group to explore ways in which public awareness can be raised, including establishing links with other organisations; reviewing the material we publish; our attendance at consumer shows; and how our impact and reach in this area can be maximised. 	<p>A new Code of Conduct is published on or before January 2017</p>	<p>Difficult to measure success of the general effect of actions, but an increase in the mention of the Code in publications.</p> <p>Internal task and finish group will have reviewed this area and progressed action points.</p> <p>Links with relevant organisations will have been established initiatives to raise awareness will have been developed.</p> <p>Our approach to consumer shows will have been developed and enhanced.</p>
	<p>Uphold the value of the Register by increasing its use</p>	<ul style="list-style-type: none"> ▪ Continue to increase awareness of the Register through social media. ▪ Develop a strategic communications plan to keep the Register topical and in the public arena. ▪ Develop relationships/partnerships with relevant organisations/groups to raise awareness of the Register through advocacy. ▪ Review the misuse of the policy. ▪ Raise awareness of the purpose of prosecutions so that potential offenders are deterred and the public is informed 		<p>Increase social referrals to the Register by 5%.</p> <p>The number of Register searches increases by a further 5% during 2016.</p> <p>Increase visits to the Register by 10%.</p> <p>Misused Title Policy will have been reviewed.</p> <p>All prosecutions launched are concluded successfully</p>

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
		<ul style="list-style-type: none"> ▪ Use all legal options available to deal with serious/repeat misusers of the title 		
	<p>Encourage architects to promote their registered status to increase awareness and value of the Register.</p>	<ul style="list-style-type: none"> ▪ Explain to registrants the value of referring to their registered status. ▪ Expand the Registrants services secure area in architects can have access to their details and embedded logo information. Increase links to the ARB Register via websites. ▪ Establish an internal task and finish group to review registrants' services and registrants' pages on the website ▪ Introduce an electronic 'welcome pack' for new registrants. 	<p>2017 Budget £10K</p> <p>2017 Budget £5k</p>	<p>XX% increased number of downloads of ARB logo from website</p> <p>Internal task and finish group will have reviewed this area and progressed action points.</p> <p>Impaired understanding of UK Regulatory requirements.</p>

	Area of Work	Actions	Assumptions and Cost Implications	Measures of Success
1.	Continue to embed the requirements of the revised Professional Qualifications Directive (PQD).	<ul style="list-style-type: none"> ▪ Continue to consider the outcome of the PQD legislative changes and determine where changes may need to be made to appropriate ARB policies, requirements and documents. ▪ Take legal advice and advice from DCLG and BiS where necessary. ▪ Analyse and cost any necessary system changes for 2017 budget. ▪ Engage in discussions with stakeholders and ensure understanding of the changes. ▪ Ensure information is readily available. 	<p>Amended Directive will be implemented in the UK in 2016.</p> <p>Extensive consultation with key stakeholders.</p> <p>Extensive legal advice will be needed to ensure ARB's revised policies; requirements and documents are compliant with the revised PQD.</p>	<p>ARB systems are ready to meet the UK deadline for compliance with the PQD and amended Architects Act.</p> <p>Stakeholders are fully aware of the implications of the changes.</p> <p>ARB revises its policies, requirements and documents so that they are compliant with the revised Directive when implemented in the UK.</p>
	Engage with the European Commission's review of the Professional Qualifications Directive	<ul style="list-style-type: none"> ▪ Continue to attend and engage with our European Stakeholders, e.g. ACE; ENACA ▪ Continue to liaise with Government Departments, e.g., DCLG and BiS ▪ Consider the implications of any potential changes to the Directive ▪ Ensure information is readily available to stakeholders 	<p>The Architects Council of Europe has notified its member organisations that the European Commission could commence its next review of the PQD in 2017.</p>	<p>ARB is fully aware of the likely scale and timings of the review.</p> <p>Stakeholders are aware of the review; any potential changes and the implications of these.</p> <p>Staff will have continued to participate in key ACE/ENACA meetings/discussions.</p>
2.	Managing risk.	<ul style="list-style-type: none"> ▪ Audit Committee regularly reports to Board. ▪ Internal audit function appropriately resourced and monitored. ▪ Maintain reporting cycle of risk register and risk strategy from staff to Board level. ▪ Monitor delivery against performance indicators (bi-annual), the Business Plan (annual), ARB's purpose and objectives (annual). ▪ Ensure adequate reserves are maintained through review of reserves policy and maintenance of the policy minimum. 	<p>Audit Committee and Internal Audit function work at current level and have the same budget as 2016.</p> <p>No additional work is specified as part of the Periodic Review.</p>	<p>Information provided to the Board on performance and risk is considered to be at the right level and provides an appropriate level of assurance.</p> <p>Internal audit continues to be robust and add value, as assessed by the Audit Committee and the management team.</p> <p>Emerging and live risks are sufficiently mitigated by the actions in place, or the level of risk is accepted as being within the Boards risk tolerance level.</p> <p>The level of reserves maintained provides the appropriate level of</p>

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		<ul style="list-style-type: none"> ▪ Effective reviews of policies to be considered in 2016. ▪ Monitor the outcome of legal challenges for areas of improvement and trends, particularly where there may be a financial or reputational risk. ▪ Consider implications and risk of any changes as consequence of the Periodic Review. ▪ Continue to develop awareness amongst the staff team of the risks which could impact on ARB's work internally. 		<p>reassurance/confidence to the Board, the Government and key stakeholders.</p> <p>Relevant policies are reviewed within expected time frame.</p> <p>Risks will have been adjusted on basis of the analysis of trend information and improvements.</p> <p>All members of staff will continue to have an understanding of the risks which could impact on ARB's work.</p>
3.	<p>Maintain adequate and appropriately skilled staffing levels that are utilised effectively.</p>	<ul style="list-style-type: none"> ▪ Ensure sufficient staff resources are maintained to deliver statutory functions and the 2017 Business Plan in line with the boards priorities. ▪ Maintain staff development budget and encourage flexible working, and interaction with outside organisations to further enhance knowledge, skills and engagement. ▪ Continue to cultivate an environment that supports engagement and encourages team members to reach their full potential. ▪ Ensure that pay and reward is competitive and attracts and retains high calibre staff. ▪ Continue to build on ARB's people strategy and key succession planning policy 	<p>Ensure financial resources are included in the budget to allow for temporary staff and appropriate cover during peak periods. Currently no additional resources have been factored in for the ongoing.</p> <p>Additional resources may be required dependent upon the outcome of the Periodic Review and the commencement of the Routes to Registration.</p> <p>No additional resources have been factored in for 2017. However, provision is held within reserves that was set aside in 2014 but not utilised due to the delay in the outcome of the Periodic Review consideration.</p>	<p>Maintain adequate staffing levels in 2016.</p> <p>Evidence of increased flexible working across the organisation to deliver statutory functions.</p> <p>A committed and engaged staff resource (2016 Engagement survey).</p>

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4.	<p>Deliver the recommendations resulting from the Periodic Review and maintain Government and Stakeholder confidence.</p>	<ul style="list-style-type: none"> ▪ Respond to outcome of review and develop an implementation plan, if appropriate. ▪ Ensure there are sufficient resources in place, to deliver relevant outcomes. 	<p>A provision was set aside during 2014 and is being held within reserves to be used once the outcome is known. Any additional funding with need to be approved by the Board as per the Scheme of Decision Making.</p> <p>Consideration as to the impact of other areas of work, planned for 2016, would need to be reassessed, with proposals brought to the Board for agreement.</p>	<p>Statutory functions delivered efficiently and effectively, alongside any requirement for the organisation to change.</p> <p>An Operational Plan is devised once the outcomes of the review are known.</p> <p>Continue to place updates about the Periodic Review into the public domain.</p>
5.	<p>Efficient financial management.</p>	<ul style="list-style-type: none"> ▪ Clear budget setting process agreed and understood by Board. ▪ Regular monitoring of outcomes against budget, along with forward planning, horizon scanning and early warning alert mechanism of variances to the Board. ▪ Prompt notification of fees. ▪ Alignment of budget to Business Plan, including capital projects. ▪ Provide 3 year forecasts to capture longer term financial obligations. ▪ Develop a property strategy 	<p>The budget setting and monitoring process remains unchanged.</p> <p>Currently assessing trends on registration.</p>	<p>Deliver ARB's work as set out in the 2017 Business Plan within budget.</p> <p>To deliver the 2017 fee process efficiently, with clear and timely communication, with registrants who are aware of the fee cut-off date, resulting in a lower number of registrants being removed for non-payment than in 2016.</p> <p>An informed Board, who are provided information in a concise way, enabling them to govern the organisation effectively</p> <p>To have in place a project plan for reviewing ARBs premises options.</p>

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7.	<p>Compliance with legal obligations</p>	<ul style="list-style-type: none"> ▪ Health and safety. ▪ Employment requirements. ▪ Data handling. ▪ PAYE. 	<p>Regular reviews as required by law.</p> <p>Compliance with relevant legislation.</p> <p>Legal advice when required.</p>	<p>No adverse health and safety incidents taking place during 2016.</p> <p>Implement any required changes to procedures and processes as required.</p>
8.	<p>IT strategy</p>	<ul style="list-style-type: none"> ▪ Keep under regular review the strategic IT development plan, maximising digital and self-service opportunities. ▪ Understand the ongoing needs and vision for the organisation, including a strategy on continuous improvement and efficiency savings through the use of technology. ▪ Build further use of the organisations website and online tools. ▪ Explore the possibilities and implications involved in moving ARB’s Board and Committee papers on-line. 	<p>Identify costs, and long term savings, ensuring resources are available to execute the plan.</p>	<p>Internal and external systems remain stable with no down time.</p> <p>External systems remain accessible and fit for purpose, and responsive to any feedback received.</p> <p>The I.T. strategy continues to support the objectives of the organisation.</p> <p>Drive down any costs to be quantified before budget briefing down and improve overall efficiency costs.</p> <p>The pros and cons involved in moving the Board and its Committees’ papers on-line will have been fully explored.</p>
9.	<p>Communications</p> <p>Should any one of these groups be external facing?</p>	<ul style="list-style-type: none"> ▪ Develop and implement a plan to continuously improve our communications with stakeholders, including updating the layout accessibility and content of ARB’s website; developing our social media profile; and raising awareness of ARB and the Register of Architects. ▪ Develop effective relationships with Consumer Organisations. ▪ Establish an internal task and finish group to look at ways in which ARB can improve its external communications, including ensuring consistency of approach – reaching out to external? 	<p>Website is a primary reference source for members of the public/architects and other organisations.</p> <p>Continuous improvements will be made to ensure we provide information in a timely and effective way.</p> <p>Small focus groups to be held, seek feedback and deliver changes where appropriate.</p>	<p>Increase in the number of visitors to the website by xx.</p> <p>Regular messages about ARB disseminated via social media.</p> <p>Demonstrable evidence of stronger relationships with consumer organisations and all stakeholders.</p> <p>Internal task and finish group will have reviewed this area and progressed action points.</p> <p>Guidelines on achieving a consistent approach to all external communications will have been developed.</p>

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10.	<p>Continue our programme of efficiency and effectiveness reviews to improve the operation of the organisation.</p>	<ul style="list-style-type: none"> ▪ Review of ARB’s digital website, in an attempt to further promote self-service provisions. ▪ Continue to review processes to ensure duplication of effort, and ‘no value’ processes are eradicated. ▪ Continue to review contracts to ensure value for money and on-going quality of service. ▪ Review processes of reporting to the Board and ensure duplication is removed. ▪ Establish an internal task and finish group to look at ways in which ARB can continue to improve its efficiency and effectiveness. 	<p>To be largely resourced internally through staff time.</p>	<p>Robust, but agile internal working practices that deliver effective, timely outcomes for the users of our services.</p> <p>Constructive feedback received from the users of our services.</p> <p>Demonstrate value for money from contract negotiations.</p> <p>An improved, streamlined process for reporting to the Board, free from duplication, will have been established.</p> <p>Internal task and finish group will have reviewed this area and progressed action points.</p>
11.	<p>Explore and take up opportunities to work with stakeholders and partners to deliver ARB’s objectives.</p>	<ul style="list-style-type: none"> ▪ Actively seek opportunities for working with a wider range of stakeholders. ▪ Build partnerships with others to influence the regulation of architects to protect consumers. 	<p>Use of internal resources/ no additional resources required.</p>	<p>Demonstrable evidence of broader working relationships with Stakeholders and Partners from the built environment, consumer and regulatory sectors.</p>
13.	<p>Respond to, and advise on European legislation that affects the regulation of architects</p>	<ul style="list-style-type: none"> ▪ Monitor EU legislation in terms of ARB’s objectives / statutory functions. <ul style="list-style-type: none"> ▪ Consumer Directive ▪ ADR Directive ▪ Data protection ▪ Services Directive ▪ Implement a communications plan to ensure architects are informed. 		<p>ARB will have responded to relevant consultations as appropriate.</p> <p>Staff will be aware of relevant developments, report these to the Board, ensure they are taken into account when taking strategic decisions and, where appropriate, communicated to architects.</p>

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<p>14.</p>	<p>To continue to improve governance arrangements within the organisation and ensure that they are aligned with best practice</p>	<ul style="list-style-type: none"> ▪ Undertake a Board and Committee effectiveness review, and implement any actions from the previous year’s review. ▪ Ensure the Board has sufficient, evidence based, timely information, in which to make informed decisions. ▪ Be alert to best practice in the arena of governance and recommendations on how to continually improve. ▪ Ensure Board membership continues to comply with the requirements of the Act; induct new Board members as appropriate ▪ Conclude the review the ARB/DCLG Framework Agreement ▪ Conclude the review of the Board’s Code of Practice ▪ Review the Board appraisal process ▪ Review the Board’s General Rules 	<p>Resourced internally.</p>	<p>Outcomes of effectiveness reviews will be actioned and taken forward as appropriate.</p> <p>A revised ARB/DCLG Framework Agreement will have been published.</p> <p>A revised version of the Board’s Code of Conduct will have been included in the Board Handbook.</p> <p>The Board’s appraisal process will have been reviewed and any revisions rolled out.</p> <p>A review of the Board’s General Rules will have been undertaken with a view to publishing an updated set of Rules in 2018.</p> <p>Governance arrangements will be kept up to date, reviewed where appropriate, and adjusted to meet best practice.</p>
<p>15.</p>	<p>Continue to review, develop and implement our equality and diversity action plan</p>	<ul style="list-style-type: none"> ▪ Review and amend the plan as required taking into consideration best practice in this area including moving to an inclusion policy. ▪ Collect and analyse Equality and Diversity data, and utilise the information to influence policy decision making. ▪ Make the data fully available to assist others in their policy information gathering. ▪ Undertake project to consider how to make consultations more accessible. 	<p>Ongoing collection of data as launched in 2015.</p> <div style="border: 2px solid orange; border-radius: 15px; padding: 10px; margin: 10px 0;"> <p>2017 Budget</p> <p>External E&D training for external advisors/examiners providers.</p> </div>	<p>Annually published data which is of value to the Board and other stakeholders.</p> <ul style="list-style-type: none"> ▪ Equality and Diversity Implications considered as part of all policy discussions based on data where appropriate ▪ 5% Increase on the amount of Equality and Diversity information held on registrants.

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		<ul style="list-style-type: none"> ▪ Review and ensure that ARB is collecting appropriate and relevant data? ▪ Ongoing training for staff, Board and those who assist delivery of the organisations objectives 		
16.	<p>Manage ARB’s reputation to ensure there is public confidence in the regulation of architects</p>	<ul style="list-style-type: none"> ▪ Raise the profile of ARB’s role, in order to communicate the role of regulation. ▪ Strengthen our relationships in the regulation and consumer sectors. ▪ Gather feedback from those that use our services, to ensure we are offering relevant and accessible services in a way which adds value. ▪ Deliver a communication plan which raises awareness of the role of ARB, in particular the public Register of Architects. ▪ Communicate the value of using a registered architect to enhance public/consumer/client understanding. ▪ Attend relevant consumer events to raise awareness of ARB’s role and the public Register, through exhibiting and speaking opportunities. 	<p>Use of internal resources.</p> <p>Key consumer shows budget remains the same as 2015.</p>	<p>Understanding of key regulation and consumer/client issues, which assists the decision making of the Board.</p> <p>Clear understanding of ARB’s performance and service delivery through wide ranging feedback.</p> <p>Publish measureable key performance indicators, and report against them biannually.</p> <p>Increased use of Search the Register facility through enhanced awareness of its value, enabling consumers to make informed choices.</p> <p>External communications reinforce message of ARB’s regulatory work.</p>

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We have performance indicators for all areas of work, which are monitored by both the management team and the Board.

Performance Indicator	Target for 2017	Assumptions	Notes
Maintaining the quality of the Register: Processing of registration applications			
Applications received through the UK route to registration processed within 15 working days.			
Applications received through the automatic European route to registration processed within 15 working days.			
Reinstatements & Readmissions.			
Maintaining the qualifications of architects: Processing applications for the prescription of qualifications and listing of qualifications at EU level			
Average no. of weeks to complete initial scrutiny of prescription applications.			
Average no. of weeks taken between an application being received to it being considered by the Committee for the first time.			
Average no. of weeks taken for an annual monitoring submission to be considered by the Committee for the first time.			

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Performance Indicator	Target for 2017	Assumptions	Notes
ARB to have processed responses to all queries regarding the UK's notifications to the European Commission within two weeks of receipt.			
ARB to have scrutinised and reviewed European notifications within the two-month consultation period set out in the European Commission's Notification Procedures.			
Maintaining the standards of conduct and practice of architects: Managing the complaints process			
Complaints in office – No. of weeks from date of receipt of a formal complaint to Investigations Panel referral or closure.			
Complaints with the Investigations Panel – No. of weeks from referral of case to issue of final decision.			
PCC Hearing date – No. of weeks from Investigations Panel final decision to production of Board solicitor's Report.			
PCC Hearing date – No. of weeks from receipt of Board solicitor's Report to PCC hearing.			

Performance Indicator	Target for 2017	Assumptions	Notes
Raising public awareness of the Register and helping users and potential users of architects' services to make informed choices			
Title complaints in office – No. of weeks from date of receipt to referral to Board's solicitor or closure.			
Title complaints with Board's solicitor to conclusion - No. of weeks from date of referral to non-prosecution conclusion/summons.			
Online Register availability 24			

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hours a day, seven days a week.			
Corporate functions			
Publish Annual Report and Financial Statements.			
Issue post-Board eBulletins.			

Glossary

BiS	Department for Business, innovation and skills
DCLG	Department for Communities and Local Government
EEA	European Economic Area
ENACA	European Network of Architectural Competent Authorities
FReM	Financial Reporting Manual (Government document)
PQD	Directive 2005/36/EC on the mutual recognition of professional qualifications
PCC	Professional Conduct Committee

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ARB maintains a rolling programme of review for all of its policies and procedures. The following are the main major areas which ARB may consider in 2017 and beyond:

2018

To be completed

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