## Agreed Key Performance Indicators

| Performance indicator   | Target for<br>2017              | Year to<br>Date                              | Traffic<br>light | Direction<br>of Travel | Comments  |
|---|---------------------------------|--|------------------|------------------------|---|
| Maintaining the quality   | of the Register                 |  | I                |                        |   |
| UK route registrations-<br>no. of days to process.  | 90% within 15<br>(working) days | <b>95%</b><br>(93% in<br>2016)               |                  | 1                      | Applications 14% up in 2017   |
| Automatic European<br>route registrations- no.<br>of days to process.   | 90% within 15<br>(working) days | <b>87%</b><br>(84% in<br>2016)               |                  | 1                      | Applications 23% down in 2017   |
| Reinstatements &<br>Readmissions within 2<br>years.   | 90% within 5<br>(working) days  | <b>95%</b><br>(93% in<br>2016)               |                  | 1                      | Applications 20% down in 2017   |
| Maintaining the standar   | ds of conduct and               | practice of                                  | Architects       |                        |   |
| Complaints in office –<br>No. of weeks from date<br>of receipt to IP referral<br>or closure.                            | 80 % within<br>14 weeks         | <b>84%</b><br>(86% in<br>2016 <sup>1</sup> ) |                  | ł                      | The shortest time taken to deal with a case<br>in this respect was less than one week, and<br>the longest was 125 weeks. Cases can take<br>longer for a variety of reasons but in this<br>instance, the complaint was placed on hold<br>pending the outcome of ongoing litigation<br>in both France and England.    |
| Complaints with IP –<br>No. of weeks from<br>referral of case to issue<br>of final decision.                            | 80 % within<br>12 weeks         | <b>83 %</b><br>(81% in<br>2016)              |                  | 1                      | The shortest time taken to issue a final<br>decision was seven weeks, and the longest<br>was 19 weeks. In this instance, the panel<br>had divergent views, resulting in lengthy<br>deliberations.   |
| PCC Reports – No. of<br>weeks from referral to<br>production of Board<br>solicitor's Report.                            | 80 % within<br>12 weeks         | <b>65%</b><br>(64% in<br>2016)               |                  | t                      | The shortest time taken for the Board's<br>solicitor to prepare a final report was six<br>weeks, while the longest was 33 weeks, as it<br>required the input of an external expert<br>witness report.   |
| PCC Hearing date – No.<br>of weeks from receipt<br>of Board solicitor's<br>Report to PCC hearing.                       | 80 % within<br>16 weeks         | <b>70%</b><br>(68% in<br>2016)               |                  | 1                      | The longest time taken for staff to list a case<br>for hearing was 38 weeks. The reason for<br>the delay was due to architect and witness<br>unavailability. The shortest time taken for<br>staff to list a case for hearing was 7 weeks.   |
| Assisting the public to m   | ake informed cho                | bices  |                  |                        |   |
| Title complaints in<br>office – No. of weeks<br>from date of receipt to<br>referral to Board's<br>solicitor or closure. | 80 % within<br>16 weeks         | <b>95.8%</b><br>(91.3% in<br>2016)           |                  | 1                      | The shortest time taken to deal with cases<br>in office was one week; the longest was 33<br>weeks due to the matter falling under<br>Trading Standard's jurisdiction and ARB<br>waiting to take over the complaint. ARB will<br>always try to secure a successful resolution<br>without reverting to a prosecution. |
| Title complaints with<br>Board's solicitor to<br>prosecution decision   | 80 % within<br>12 weeks         | <b>64%</b><br>(83.33%<br>in 2016)            |                  | Ţ                      | The shortest time taken was four weeks,<br>and the longest 42 weeks. This case was<br>delayed due to the difficulties with the<br>Court providing an initial hearing date.  |

<sup>1</sup> The target in 2016 was 16 weeks, rather than 14

|   |                       |                          |             | Annex B  |
|---|-----------------------|--------------------------|-------------|--|
| Prescription Applications   | 5 <sup>2</sup>        |                          |             |  |
| Average no. of weeks<br>to complete initial<br>scrutiny of Prescription<br>Applications   | 95% within 2<br>weeks | 100% in<br>0.77<br>weeks |             | This KPI has been exceeded. The Qualifications Team<br>will review this in 2018 to see if the KPI should be<br>adjusted.   |
| Average no. of weeks<br>taken between an<br>application being<br>received to it being<br>considered by the<br>Committee for the first<br>time | 95% within 7<br>weeks | 96% in<br>5.45<br>weeks  |             | This KPI has been exceeded. The Qualifications Team<br>will review this in 2018 to see if the KPI should be<br>adjusted.   |
| Average no. of weeks<br>taken for an<br>Independent Adviser<br>to respond to<br>Committee's request   | 95% within 3<br>weeks | N/A                      |             | No routine applications were referred directly by the Committee to its independent advisers.   |
| Annual Monitoring Subm  | nissions (Prescript   | tion of Qual             | ifications) |  |
| Average no. of weeks<br>taken for an annual<br>monitoring submission<br>to be considered by<br>the Committee for the<br>first time            | 95% within 6<br>weeks | 100% in<br>4.51<br>weeks |             | This KPI has been exceeded. The Qualifications Team<br>will review this in 2018 to see if the KPI should be<br>adjusted.   |
| Average no. of weeks<br>taken for a significant<br>change to be<br>considered by the<br>Committee for the first<br>time                       | 95% within 6<br>weeks | 100% in<br>4.86<br>weeks |             | This KPI has been exceeded. The Qualifications Team<br>will review this in 2018 to see if the KPI should be<br>adjusted.   |
| Average no. of weeks<br>taken for a minor<br>change to be<br>considered by the<br>Committee for the first<br>time                             | 95% within 6<br>weeks | 100% in<br>4.67<br>weeks |             | This KPI has been exceeded. The Qualifications Team will review this in 2018 to see if the KPI should be adjusted.   |
| Average number of<br>weeks taken for<br>evolutionary change/s<br>to be considered by<br>the Committee for the<br>first time                   | 95% within 6<br>weeks | 100% in<br>6.31<br>weeks |             | This KPI has been narrowly missed, due to the variances<br>in Committee dates and/or further information needing<br>to be sought immediately following the submission of<br>the information. We will monitor this area closely in<br>2018 in order to ensure we improve performance in this<br>area in 2018. |
| Average number of<br>weeks taken for an<br>extension to<br>prescription request to<br>be considered by the<br>Committee for the first<br>time | 95% within 7<br>weeks | N/A                      |             | No institutions sought extensions to prescription<br>outside of the routine exercise the staff undertaken to<br>determine if prescription can be extended by up to one<br>year through the 'making prescription more flexible'<br>processes  |

<sup>2</sup> Previous years' data unavailable for Qualifications' KPIs

## Annex B

| UK/European Notifications   |      |      |   |  |  |  |
|---|------|------|---|--|--|--|
| To hold a planning<br>meeting with each UK<br>institution that has to<br>notify its<br>qualifications to the<br>European Commission   | 100% | 100% | This KPI has been met.  |  |  |  |
| UK to respond to all<br>queries received within<br>the 2-month<br>consultation period set<br>out in the<br>Commission's<br>Notification<br>Procedures   | 100% | 100% | This KPI has been met.  |  |  |  |
| ARB to respond to all<br>queries received<br>regarding a notified UK<br>qualification within 2<br>weeks from the day of<br>receipt  | 100% | 66%  | Three UK notifications were made in 2017; queries were<br>raised by other EU member states in relation to one of<br>those three notifications. We prepared responses and<br>sought the relevant institution's agreement to them.<br>There were delays in securing the institution's<br>agreement to the proposed responses due to the<br>availability of staff/the timing of the queries. The Team<br>will reflect on this and consider more effective<br>strategies for securing institutions' agreement to<br>proposed responses in 2018. |  |  |  |
| ARB to respond to the<br>notifying Member<br>States for each notified<br>qualification with<br>comments as<br>appropriate within the<br>2-month consultation<br>period set out in the<br>Commission's<br>Notification<br>Procedures | 100% | 100% | This KPI has been met.  |  |  |  |

## Кеу

